

Attorney Docket No. 60630-300101

Application No. Not Yet Assigned

Applicant:

Kim Cue Ngo

Filed:

December 19, 2001

For:

METHOD AND APPARATUS FOR

TRANSMITTING DATA OVER A

**NETWORK** 

Group Art Unit: Not Yet Assigned Examiner: Not Yet Assigned

#### **CERTIFICATE OF EXPRESS MAILING**

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label Number <u>EL655034040US</u> addressed to: Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA

22202.

Date: December 19, 2001 Signed:

Sheena Hicks

Box Patent Application U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

#### **INFORMATION DISCLOSURE STATEMENT**

Submitted herewith are references listed on the attached form PTO-1449 of which Applicants are aware and in respect of which there may be a duty to disclose in accordance with 37 C.F.R 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

If a fee is due under 37 C.F.R 1.17(p) the Commissioner is hereby authorized to charge deposit account number 02-3964 (order 60630-300101).

DATE: December 19, 2001

Respectfully submitted,

Tamiz R. Khan Reg. No: 46,273

OPPENHEIMER WOLFF & DONNELLY LLP

Customer No. 25696

P.O. Box 10356

Palo Alto, CA 94303

Tel: (650) 320-4000 Fax: (650) 320-4100

(Information Disclosure Statement) SV: 239992 v01 12/19/2001

FORM PTO-1449	ATTY DOCKET NO.:	APPLICATION NO.:	PTO 0	
 J.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	60630-300101	Not Assigned Yet	03514	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: Kim Cue Ngo	•	C811	
	FILING DATE: December 19, 2001	GROUP: Not Assigned Yet	כי	

#### **U. S. PATENT DOCUMENTS**

EXAMINER		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF
INITIAL		NUMBER		•			APPROPRIATE
	1	6,320,520	11/20/2001	Luby	341	50	09/17/1999
	2	6,307,487	10/23/2001	Luby	341	50	02/05/1999
	3	6,263,019 B1	07/17/2001	Ryan	375	240.02	10/09/1998
	4	6,246,695B1	06/12/2001	Seazholtz et al	370	468	06/04/1998
	5	6,233,389 B1	05/15/2001	Barton et al.	386	46	07/30/1998
	6	6,219,355 B1	04/17/2001	Brodigan	370	486	11/30/1998
	7	6,215,526 B1	04/10/2001	Barton, et al.	348	473	11/06/1998
	8	6,212,681 B1	04/03/2001	Ikeda	725	92	11/29/1996
	9	6.199,076 B1	03/06/2001	Logan, et al.	707	501	10/02/1996
	10	6,198,723 B1	03/06/2001	Parruck et al.	370	230	04/14/1998
	11	6,169,767 B1	01/02/2001	Strolle et al.	375	302	03/09/1998
	12	6,160,546	12/12/2000	Thompson, et al.	345	327	05/01/1998

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSL. YES	ATION NO
	-\							
						·		

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

13	Video on Demand Technologies and Demonstrations, Final Report, Marek Podgorny and Geoffrey C. Fox, Northeast Parallel Architectures Center, Contract No.: F-30602-94-C-0256, March 1997, Pages 1-108.
14	PCT International Search Report, International Application No.: PCT/US01/13281 filed April 24, 2001, mailed July 3, 2001, 4 pages.
15	PCT International Search Report, International Application No.: PCT/US00/22989 filed August 22, 2000, mailed August 15, 2001, 9 pages.
16	PCT International Search Report, International Application No.: PCT/US01/17993 filed May 31, 2001, mailed September 28, 2001, 5 pages.
17	PCT International Search Report, International Application No.: PCT/US01/17986 filed May 31, 2001, mailed October 11, 2001, 5 pages.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1	449			ATTY DOO	CKET NO.:		APPLICATI	ON NO.:
U.S. DEPARTME PATENT AND T				60630-3001	01		Not Assigned	Yet 17
		TION DISCLOS		APPLICAN	T: Kim Cue	Ngo		<u> </u>
				FILING DA	TE: Decemb	er 19, 2001	GROUP: No	t Assigned Yet
				U. S. PATENT	DOCUMEN	TS		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	APPROPRIATE
	18	6,128,467	10/03/2000	Rege		455	4.2	03/21/1996
	19	6,118,821	09/12/2000	Matsumoto et a	al	375	240	05/15/1998
	20	6,076,062	06/13/2000	Van Steenbrug	ge	704	500	12/09/1996
	21	6,075,570	06/13/2000	Usui, et al.		348	569	03/22/1999
	22	6,058,122	05/02/2000	Hwang et al.	•	370	522	06/22/1998
	23	6,055,571	04/25/2000	Flup et al.		709	224	11/20/1997
	24	6,055,560	04/25/2000	Mills et al.		709	200	11/08/1996
	25	6,028,847	02/22/2000	Beanland		370	252	07/31/1997
	26	6,018,765	01/25/2000	Durana et al.	<del></del>	709	217	01/23/1997
	27	6,012,080	01/04/2000	Ozden et al.		709	102	03/27/1996
	28	6,011,798	01/04/2000	McAlpine		370	395	08/15/1997
	29	6,005,599	12/21/1999	Asai et al.	···	348	7	12/21/1994
	L	L	FO	REIGN PATE	NT DOCUM	ENTS		
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLA	SS SUBCLAS	SS TRANSLATI

I	OOCUMENT	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSL	ATION
1	NUMBER			,			YES	NO
							-	

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1	Sheet 3 of 3		140 P	
	FORM PTO-1449	ATTY DOCKET NO.:	APPLICATION NO.:	
•	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	60630-300101	Not Assigned Yet	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: Kim Cue Ngo		
		FILING DATE: December 19, 2001	GROUP: Not Assigned Yet	

#### **U. S. PATENT DOCUMENTS**

EXAMINER		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF
INITIAL		NUMBER				1	APPROPRIATE
	30	5,949,948	09/07/1999	Krause et al.	386	6	11/20/1995
	31	5,915,094	06/22/1999	Kouloheris et al.	395	200.49	06/02/1997
. "	32	5,892,535	04/06/1999	Allen, et al.	348	9	12/13/1996
	33	5,856,973	01/05/1999	Thompson	370	389	09/10/1996
	34	5,850,258	12/15/1998	Kang	348	390	03/20/1996
	35	5,815,146	09/29/1998	Youden et al.	345	327	09/16/1996
	36	5,805,804	09/08/1998	Laursen et al.	395	200.02	03/12/1997
	37	5,781,228	07/14/1998	Sposato	348	13	09/07/1995
	38	5,751,709	05/12/1998	Rathnavelu	370	395	12/28/1995
	39	5,751,282	05/12/1998	Girard, et al.	345	327	06/13/1995
	40	5,557,316	09/17/1996	Hoarty, et al.	348	7	09/291994
	41	4,963,995	10/16/1990	Lang	358	335	12/27/1988

# FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSL. YES	ATION NO

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.